



EXPRESS MAIL CERTIFICATE

Date 7/28/03 Label No. EV340067193 - US

I hereby certify that, on the date indicated above, this paper or fee was deposited with the U.S. Postal Service & that it was addressed for delivery to the Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 by "Express Mail Post Office to Addressee" service.

Name (Print) B. Beck

Signature B. Beck

Customer No.:



07278

PATENT TRADEMARK OFFICE

PLEASE CHARGE ANY DEFICIENCY UP TO \$300.00 OR  
CREDIT ANY EXCESS IN THE FEES DUE WITH THIS  
DOCUMENT TO OUR DEPOSIT ACCOUNT NO. 04-0100

RECEIVED  
AUG 01 2003  
TECH CENTER 1600/2900

Docket No.: 5402/1M695US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Samuel P. SAWAN, et al.

Serial No.: 09/392,842

Group Art Unit: 1617

Confirmation No.: 1863

Filed: September 9, 1999

Examiner: Shahnam J. Sharareh

For: TOPICAL DERMAL ANTIMICROBIAL COMPOSITIONS, METHODS FOR  
GENERATING THE SAME, AND MONITORING METHODS UTILIZING SAME

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

In order to comply with 37 CFR 1.97 and 1.98, attached hereto is a copy  
of Form PTO-1449 and copies of the documents listed thereon.

M:\5402\1m695us1\00031919.WPD [\*54021M695US1\*] /font=10

07/31/2003 HGBREH1 00000090 09392842

01 FC:1806

180.00 OP

In accordance with MPEP Sections 609 and 707.05(b), it is requested that each document cited (including any cited in applicant's specification which is not repeated on the attached Form PTO-1449) be given thorough consideration and that it be cited of record in the prosecution history of the present application by initialing Form PTO-1449 next to the document. Such initialing is requested even if the Examiner does not consider a cited document to be sufficiently pertinent to use in a rejection, or otherwise does not consider it to be prior art for any reason, or even if the Examiner does not believe that the guidelines for citation have been fully complied with. This is requested so that each document becomes listed on the face of the patent issuing on the present application.

Since some of the documents were known to the applicant for more than three months before the filing of this Supplemental Information Disclosure Statement, the statutory fee of \$180.00 under 37 C.F.R. 1.17(p) is enclosed. The Commissioner is authorized to charge any additional fees required in connection with this Petition or to credit any overpayment to Deposit Account No. 04-0100.

A duplicate copy of this document is enclosed.

The undersigned is also enclosing herewith an English translation of the Abstract of each of the Japanese language documents identified in Form PTO-1449. It is believed that the applicant in the above-identified patent application has therefore met the "concise explanation" requirement of 37 C.F.R. 1.98.

Serial No. 09/392,842

Docket No. 5402/1M695US1

The present Supplemental Information Disclosure Statement is being submitted in compliance with 37 CFR 1.56, but the citation of such document is not to be construed as an admission that such document is necessarily relevant or prior art. No representation is intended that the cited documents represent the results of a complete search, and it is anticipated that the Examiner, in the normal course of examination, will make an independent search and will determine the best prior art consistent with 37 CFR 1.104(a) and in the course of each search, will review for relevance every document cited on the attached form even if not initialed.

Early and favorable consideration is earnestly solicited.

Respectfully submitted,

Dated: July 28, 2003



Anna Löqvist, Ph.D.  
Limited Recognition Under  
37 C.F.R. §10.9(b) (see enclosure)  
Representative for Applicant(s)

DARBY & DARBY  
Post Office Box 5257  
New York, NY 10150-5257  
(212) 527-7700

Serial No. 09/392,842

Docket No. 5402/1M695US1

**LIST OF REFERENCES CITED BY APPLICANT**

(Use Several Sheets if Necessary)

DOCKET NO.: 5402/1M695US1

SERIAL NO.:

09/392,842

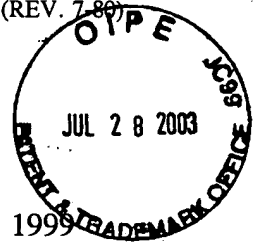
APPLICANT: SAWAN et al.

FILING DATE:

September 9, 1999

CONFIRMATION NO.:

1863

**U.S. PATENT DOCUMENTS**

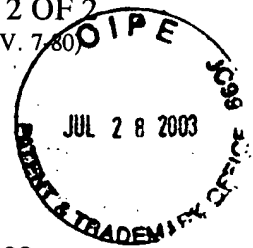
*EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	1. 6,509,022	01/21/03	Lowry et al.	424	401	06/09/98
	2. 6,258,370	07/10/01	Behrends et al.	424	405	10/28/97
	3. 6,010,687	01/04/00	Cox et al.	424	65	12/03/98
	4. 5,871,763	02/16/99	Luu et al.	424	402	04/24/97
	5. 5,670,160	09/23/97	Eggensperger et al.	424	405	01/30/96
	6. 5,449,658	09/12/95	Unhoch et al.	504	151	12/07/93
	7. 5,268,168	12/07/93	Katayama et al.	424	76.1	02/14/91
	8. 4,655,756	04/07/87	Fawkes	604	360	09/03/85
	9. 4,592,920	06/03/86	Murtfeldt	427	2	05/20/83
	10. 4,191,815	03/04/80	Jourquin et al.	521	51	03/11/77
	11. 4,180,491	12/25/79	Kim et al.	260	29.2	12/01/76
	12. 4,165,334	08/21/79	Gosselink et al.	260	458	06/07/77
	13. 3,951,897	04/20/76	Matsuda et al.	260	29.2	11/26/74
	14. 3,183,230	05/11/65	Shapiro et al.	260	244	01/13/61

**U.S. PATENT APPLICATION PUBLICATIONS**

*EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	15. 2002/014020 A1	10/03/02	Woollven	424	405	06/05/98
	16. 2001/0006647 A1	07/05/01	Lowry et al.	424	400	06/09/98

**FOREIGN PATENT DOCUMENTS**

*EXAMINER INITIALS	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	17. 295,256	11/14/68	AU	C08g		X	
	18. 0 987 946	06/05/98	EP	A01N	47/44	X	
	19. 0 639 636	08/09/94	EP	C11D	3/48	X	
	20. 0 485 079	10/16/91	EP	A01N	47/44	X	
	21. 843,676	12/31/57	GB	A01N		X	
	22. 1 434 040	07/24/74	GB	A01N9	9/20	X	
	23. 1 464 005	04/22/74	GB	A01N9	20	X	
	24. 2 202 443	09/28/88	GB	A01N	47/44	X	
	25. 63183502	06/22/87	JP	A01N47	44	X	
	26. 08176527	07/09/96	JP	C09K	3/00	X	
	27. 08119878	05/14/96	JP	A61K7	22	X	
	28. 01/11041	02/15/01	WO	C12N	15/12	X	
	29. 98/56253	12/17/98	WO	A01N	47/44	X	

**LIST OF REFERENCES CITED BY APPLICANT**

(Use Several Sheets if Necessary)

DOCKET NO.: 5402/1M695US1

SERIAL NO.:

09/392,842

APPLICANT: SAWAN et al.

FILING DATE:

September 9, 1999

CONFIRMATION NO.:

1863

*EXAMINER INITIALS	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	30. 95/26134	✓ 10/05/95	WO	A01N	25/32	X
	31. 95/12021	✓ 05/04/95	WO	D06M	13/432	X

**OTHER REFERENCES****(INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)****\*EXAMINER  
INITIALS**

- 32. Bettley, British Medical Journal, 1960;pp:1696-1704
- 33. Larkin et al., Ophthalmology, 1992;99:185-191
- 34. Khunkitti et al., J. of Applied Bacteriology, 1998;81:73-77

EXAMINER: \_\_\_\_\_

DATE CONSIDERED: \_\_\_\_\_

**\*EXAMINER:**

Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw a line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.